BIDDER'S SUBMITTAL

PROPOSAL, SPECIFICATIONS, BOND AND AFFIDAVIT
FOR THE CONSTRUCTION OF
SIDEWALK REPAIR FOR HANDICAP ACCESSIBILITY
(CDBG # 601647-14)
B2015-26

Company: CT&T Concrete Paving, Inc.

The "TOTAL BID" described below will be the basis of bids for this bid solicitation. The Bidder must list its Total Bid price for each Bid Schedule. The bidder shall calculate a TOTAL BID by adding Bid Schedules A and B and entering the figure at the location indicated below.

	Figures
Total Bid for Bid Schedule A:	$ 695,240.00
Total Bid for Bid Schedule B:	$ 506,040.00
TOTAL BID (Sum of Bid Schedules A and B):	$ 1,201,280.00

PROPOSAL, SPECIFICATIONS, BOND AND AFFIDAVIT
FOR THE CONSTRUCTION OF
SIDEWALK REPAIR FOR HANDICAP ACCESSIBILITY
(CDBG # 601647-14)
B2015-26

Honorable Mayor and Members
of the Torrance City Council
Torrance, California

Members of the Council:

In accordance with the Notice Inviting Bids pertaining to the receiving of sealed proposals by the City Clerk of the City of Torrance for the above titled improvement, the undersigned hereby proposes to furnish all Work to be performed in accordance with the Plans, Specifications, Standard Drawings, and the Contract Documents, for the unit price or lump sum set forth in the following schedules

BID SCHEDULE A (AREA 1 AND AREA 2) - REVISED
CDBG funds will pay for all Bid Items below EXCEPT for #12, 13, 15, 16, 18, 19, 20, 21 & 22

Item No.	Estimated Qty	Unit of Measure	Bid Item Description	Spec Section	Unit Price	Total Bid
1	35	EA	SURVEY MONUMENTS	2-9.1	$ 271.5	9502.5
2	1	LS	CONSTRUCTION SURVEY	2-9.2	$ 9,000.00	9,000.00
3	1	LS	TRAFFIC CONTROL	601-3	$9,497.50	9497.50
4	1	LS	SWPPP AND NPDES COMPLIANCE	7-8.6.2 7-8.6.3	$ 2,800.00	2,800.00
5	1	LS	MOBILIZATION	9-3.4	$ 10,000.00	10,000.00
6	65	EA	TREE REMOVAL AND DISPOSAL	300-1.4	$ 625.00	40625.00
7	250	LF	INSTALL TYPE "A" JOINT SEALANT (GRAY)	303-1.8.7(b)	$ 9.00	2,250.00
8	28,000	SF	REMOVE EXISTING SIDEWALK AND SUBGRADE AND CONSTRUCT 3.5" THICK PCC SIDEWALK OVER 4" CMB, OUTSIDE LIMITS OF CURB ACCESS RAMP	303-5.9	$ 9.10	254,800.00
9	804	LF	REMOVE CONCRETE CURB & GUTTER OUTSIDE LIMITS OF CURB ACCESS RAMP and CONSTRUCT PCC CURB & GUTTER PER SPPWC STD. 120-2 [TYPE: A2-150(6) OR A2-200(8)] OVER 8" CMB, INCLUDING RESTORATION OF 1-FOOT WIDE AC PAVEMENT. MATCH EXISTING CURB HEIGHT AND GUTTER WIDTH	303-5.9	$ 48.50	38,994.00

BID SCHEDULE A (AREA 1 AND AREA 2) Continued						
Item #	Estimated Qty	Unit of Measure	Description	Spec. Section	Unit Price	Total Bid
10	6,650	SF	REMOVE EXISTING DRIVEWAY AND CONSTRUCT 6" THICK PCC DRIVEWAY OVER 8" CMB INCLUDING RESTORATION OF 1-FOOT WIDE AC PAVEMENT.	303-5.9	$ 11.11	73,881.50
11	6	EA	REMOVE EXISTING CORNER RADIUS CURB OR CURB & GUTTER (HEIGHT/WIDTH VARIES) AND SIDEWALK AND SUBGRADE. CONSTRUCT NEW CORNER PCC CURB OR CURB & GUTTER PER SPPWC STD. 120-2 [TYPE: A1-150(6); A1-200(8); A2-150(6) OR A2-200(8)] OVER 8" CMB AND 4" THICK CURB ACCESS RAMP ON 4" CMB, INCLUDING DETECTABLE WARNING SURFACE AND RESTORATION OF 1-FOOT WIDE SLOT PATCH. CURB RAMP IS NOT MONOLITHIC WITH CURB & GUTTER .	303-5.9	$ 4,300.00	25,800.00
12	1,500	SF	REMOVE AND DISPOSE EXISTING CONCRETE SPANDREL AND RECONSTRUCT PER SPPWC STD. 122-2 OVER 8" CMB, INCLUDING RESTORATION OF 2-FOOT WIDE AC PAVEMENT.	303-5.9	$ 22.50	33,750.00
13	65	EA	FURNISH AND INSTALL PARKWAY TREE (15 GALLON)	801-4	$ 266.00	17'290.00
14	160	EA	TREE ROOT PRUNING	801-4	$ 77.00	12'320.00
15	1	LS	RESTORE LANDSCAPING AND IRRIGATION	7-9	$ 14,000.00	14,000.00
16	1	LS	PLANT ESTABLISHMENT AND MAINTENANCE PERIOD (3 MONTHS)	308-6.1	$ 1180.00	1180
17	20	EA	REMOVE AND REPLACE UTILITY BOXES AND COVERS AND ADJUST TO GRADE	800-2.2.7	$ 280.00	5,600.00
18	300	SF	PATCH CONCRETE SIDEWALK, DRIVEWAY, AND CURB & GUTTER	303-5.9	$ 5.50	1,650.00
19	1	LS	RESTORATION OF BRICKS, PAVERS, CONCRETE BLOCKS, SYNTHETIC TURF WITHIN WORK AREA	7-9	$ 2,900.00	2,900.00
20	1	LS	CURB RE-PAINTING & CURB ADDRESS RE-STENCILING WITHIN WORK AREA	303-5.9	$ 4,000.00	4,000.00

BID SCHEDULE A (AREA 1 AND AREA 2) Continued						
Item #	Estimated Qty	Unit of Measure	Description	Spec. Section	Unit Price	Total Bid
21	1	LS	MISCELLANEOUS REMOVALS AND RELOCATIONS	300-1.3.2	$10,000	$10,000
22	1,000	CY	REMOVE AND DISPOSE OF UNCLASSIFIED MATERIAL AND INSTALL CMB AS DIRECTED BY ENGINEER	300-2.2.1	$ 90.00	90,000.00
23	1	ALW	NPDES PERMIT FEES	7-8.6.3 thru 7-8.6.5	$2,000	$2,000

TOTAL BID PRICE (SCHEDULE A) $ 695,240.50
(Figures)*

TOTAL BID PRICE (SCHEDULE A): SIX HUNDRED NINETY-FIVE THOUSANDS TWO HUNDRED FORTY DOLLARS AND FIFTY CENTS (Words)*

PRICES SHOWN ABOVE SHALL INCLUDE THE AMOUNTS ONLY FROM BID SCHEDULE A.
****BID MAY BE REJECTED IF TOTAL IS NOT SHOWN IN FIGURES AND WORDS****

BID SCHEDULE B (AREA 3) - REVISED

(CDBG funds will pay for all Bid Items below EXCEPT for Item #12, 13, 15, 16, 18, 19 & 20)

Item No.	Estimated Qty	Unit of Measure	Bid Item Description	Spec Section	Unit Price	Total Bid
1	25	EA	SURVEY MONUMENTS	2-9.1	$ 400.00	10,000.00
2	1	LS	CONSTRUCTION SURVEY	2-9.2	$ 7,900.00	7,900.00
3	1	LS	TRAFFIC CONTROL	601-3	$ 6,800.00	6,800.00
4	1	LS	SWPPP AND NPDES COMPLIANCE	7-8.6.2 7-8.6.3	$ 2,800.00	2,800.00
5	1	LS	MOBILIZATION	9-3.4	$ 8,000.00	8,000.00
6	129	EA	TREE REMOVAL AND DISPOSAL	300-1.4	$ 625.00	80 625.00
7	200	LF	INSTALL TYPE "A" JOINT SEALANT (GRAY)	303-1.8.7(b)	$ 9.00	1,800.00
8	21,800	SF	REMOVE EXISTING SIDEWALK AND SUBGRADE AND CONSTRUCT 3.5" THICK PCC SIDEWALK OVER 4" CMB, OUTSIDE LIMITS OF CURB ACCESS RAMP.	303-5.9	$ 9.10	198,380.00
9	1,500	LF	REMOVE CONCRETE CURB & GUTTER OUTSIDE LIMITS OF CURB ACCESS RAMP and CONSTRUCT PCC CURB & GUTTER PER SPPWC STD. 120-2 [TYPE: A2-150(6) OR A2-200(8)] OVER 8" CMB, INCLUDING RESTORATION OF 1-FOOT WIDE AC PAVEMENT. MATCH EXISTING CURB HEIGHT AND GUTTER WIDTH.	303-5.9	$ 48.50	72,750.00
10	2,700	SF	REMOVE EXISTING DRIVEWAY AND CONSTRUCT 6" THICK PCC DRIVEWAY OVER 8" CMB INCLUDING RESTORATION OF 1-FOOT WIDE AC PAVEMENT.	303-5.9	$ 11,100.00	29,970.00

CF 11.10

BID SCHEDULE B (AREA 3) Continued

Item No.	Estimated Qty	Unit of Measure	Bid Item Description	Spec Section	Unit Price	Total Bid
11	3	EA	REMOVE EXISTING CORNER RADIUS CURB OR CURB & GUTTER (HEIGHT/WIDTH VARIES) AND SIDEWALK AND SUBGRADE. CONSTRUCT NEW CORNER PCC CURB OR CURB & GUTTER PER SPPWC STD. 120-2 [TYPE: A1-150(6); A1-200(8); A2-150(6) OR A2-200(8)] OVER 8" CMB AND 4" THICK CURB ACCESS RAMP ON 4" CMB, INCLUDING DETECTABLE WARNING SURFACE AND RESTORATION OF 1-FOOT WIDE SLOT PATCH. CURB RAMP IS NOT MONOLITHIC WITH CURB & GUTTER.	303-5.9	$ 4,300.00	12,900.00
12	-	-	(NOT USED)	-	$ n/a	n/a
13	130	EA	FURNISH AND INSTALL PARKWAY TREE (15 GALLON)	801-4	$ 266	34'580.00
14	215	EA	TREE ROOT PRUNING	801-4	$ 77.00	16'555.00
15	1	LS	RESTORE LANDSCAPING AND IRRIGATION	7-9	$ 10,000.00	10,000.00
16	1	LS	PLANT ESTABLISHMENT AND MAINTENANCE PERIOD (3 MONTHS)	308-6.1	$ 1'180	1'180.00
17	15	EA	REMOVE AND REPLACE UTILITY BOXES AND COVERS AND ADJUST TO GRADE	800-2.2.7	$ 280.00	4,200.00
18	200	SF	PATCH CONCRETE SIDEWALK, DRIVEWAY, AND CURB & GUTTER	303-5.9	$ 9.00	1,800.00
19	1	LS	RESTORATION OF BRICKS, PAVERS, CONCRETE BLOCKS, SYNTHETIC TURF WITHIN WORK AREA	7-9	$ 2,800.00	2,800.00
20	1	LS	CURB RE-PAINTING AND CURB ADDRESS RE-STENCILING WITHIN WORK AREA	303-5.9	$ 3,000.00	3,000.00

TOTAL BID PRICE (SCHEDULE B) $ 506,040.00
(Figures)*

TOTAL BID PRICE (SCHEDULE B): FIVE HUNDRED SIX THOUSAND FORTY DOLLAR AND NO CENTS.
(Words)*

PRICES SHOWN ABOVE SHALL INCLUDE THE AMOUNTS ONLY FROM BID SCHEDULE B.
BID MAY BE REJECTED IF TOTAL IS NOT SHOWN IN FIGURES AND WORDS

BIDDER'S CERTIFICATION

I acknowledge receipt of the foregoing Addendum No. 3 and accept all conditions contained therein.

CT&T Concrete Paving, Inc.
Bidder

By

10/28/15
Date

******* Submit this executed form with the bid *******

Please fill out and submit the "Acknowledgment of Addenda Received" form provided in Section C of the Specifications.

BIDDER'S CERTIFICATION

I acknowledge receipt of the foregoing Addendum No. 2 and accept all conditions contained therein.

CT&T Concrete Paving, Inc.
Bidder

By

10/28/15
Date

***** Submit this executed form with the bid *****

Please fill out and submit the "Acknowledgment of Addenda Received" form provided in Section C of the Specifications.

BIDDER'S CERTIFICATION

I acknowledge receipt of the foregoing Addendum No. 1 and accept all conditions contained therein.

CT&T Concrete Paving, Inc.
Bidder

By

10/28/15
Date

***** Submit this executed form with the bid *****

Please fill out and submit the "Acknowledgment of Addenda Received" form provided in Section C of the Specifications.

The undersigned furthermore agrees to enter into and execute a contract, with necessary bonds, at the unit prices set forth herein and in case of default in executing such contract, with necessary bonds, the check or bond accompanying this bid and the money payable thereon shall be forfeited thereby to and remain the property of the City of Torrance.

The above unit prices include all work appurtenant to the various items as outlined in the Specifications and all work or expense required for the satisfactory completion of said items. In case of discrepancies between unit prices and totals, the unit prices shall govern.

The undersigned declares that it has carefully examined the Plans, Specifications, and Contract Documents, and has investigated the site of the work and is familiar with the conditions thereon.

Contractor: CT&T Concrete Paving, Inc.

Date: 10/28/15 By:

Contractor's State License No. 875627 Class C8, C12

Address: 324 S. Diamond Bar Bl., PMB275, Diamond Bar, CA 91765

Phone: (909)629-8000

Fax: (909)629-8001

ACKNOWLEDGMENT OF ADDENDA RECEIVED – B2015-26

The Bidder shall acknowledge the receipt of addenda by placing an "X" by each addendum received.

Addendum No. 1 X

Addendum No. 2 X

Addendum No. 3 X

Addendum No. 4 ____

Addendum No. 5 ____

Addendum No. 6 ____

Addendum No. 7 ____

Addendum No. 8 ____

If an addendum or addenda have been issued by the City and not noted above as being received by the Bidder, the Bid Proposal may be rejected.

Bidder's Signature

10/28/15
Date

CONTRACTOR'S AFFIDAVIT

STATE OF CALIFORNIA }
}
COUNTY OF Los Angeles } **B2015-26**

Jose Carvajal, being first duly sworn, deposes and says:

1. That he is the President
Title

of CT&T Concrete Paving, Inc.
(Name of Partnership, Corporation, or Sole Proprietorship)

hereinafter called "Contractor," who has submitted to the City of Torrance a proposal for the Construction of SIDEWALK REPAIR FOR HANDICAP ACCESSIBILITY, I-135 (CDBG #601647-14), B2015-26;

2. That said proposal is genuine; that the same is not sham; that all statement of facts therein are true;

3. That such proposal was not made in the interest or behalf of any person, partnership, company, association, organization or corporation not named or disclosed;

4. That the Contractor did not, directly or indirectly, induce, solicit or agree with anyone else to submit a false or sham bid, to refrain from bidding, or to withdraw the bid, to raise or fix the bid price of the Contractor or anyone else, or to raise or fix any overhead, profit or cost element of the Contractor's price or the price of anyone else; and did not attempt to induce action prejudicial to the interest of the City of Torrance, or of any other bidder, or anyone else interested in the proposed contract;

5. That the Contractor has not in any manner sought by collusion to secure for itself an advantage over any other bidder or to induce action prejudicial to the interests of the City of Torrance, or of any other bidder or of anyone else interested in the proposed contract;

6. That the Contractor has not accepted any bid from any subcontractor or materialman through any bid depository, the bylaws, rules or regulations of which prohibit or prevent the Contractor from considering any bid from any subcontractor or materialman, which is not processed through said bid depository, or which prevent any subcontractor or materialman from bidding to any contractor who does not use the facilities of or accept bids from or through such bid depository;

7. That the Contractor did not, directly or indirectly, submit the Contractor's bid price or any breakdown thereof, or the contents thereof, or divulge information or data relative thereto, to any corporation, partnership, company, association, organization, bid depository, or to any member or agent thereof, or to any individual or group of Individuals, except to the City of Torrance, or to any person or persons who have a partnership or other financial interest with said Contractor in its business.

Dated this 27 day of October, 2015.

(Contractor)

Jose Carvajal, President
(Title)

Subscribed and Sworn to
before me this 27 day
of October, 2015

Notary Public in and for said
County and State
(Seal)

Please see Attachment for Notary Public

CALIFORNIA JURAT WITH AFFIANT STATEMENT **GOVERNMENT CODE § 8202**

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

☐ See Attached Document (Notary to cross out lines 1–6 below)
☐ See Statement Below (Lines 1–6 to be completed only by document signer[s], *not* Notary)

1
2
3
4
5
6

Signature of Document Signer No. 1 *Signature of Document Signer No. 2 (if any)*

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California
County of Los Angeles

ISMAEL ARIAS
COMM. #2121014
Notary Public - California
LOS ANGELES COUNTY
My Comm. Exp. Aug. 15, 2019

Subscribed and sworn to (or affirmed) before me on this 27 day of October, 2015,
Date *Month* *Year*
by
(1) Jose Cacuya
(and (2)),
Name(s) of Signer(s)

proved to me on the basis of satisfactory evidence to be the person(s) who appeared before me.

Signature
Signature of Notary Public

Seal
Place Notary Seal Above

OPTIONAL

Though this section is optional, completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.

Description of Attached Document

Title or Type of Document: [illegible] **Document Date:** 10-27-15

Number of Pages: 2 **Signer(s) Other Than Named Above:**

©2014 National Notary Association • www.NationalNotary.org • 1-800-US NOTARY (1-800-876-6827) Item #5910

LIST OF SUBCONTRACTORS: B2015-26

The Bidder is required to fill in the following blanks in accordance with the provisions of the California Public Contract Code Sections 4100-4114, CHAPTER 4. SUBLETTING AND SUBCONTRACTING. The contractor, sub recipient or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of Title 49 CFR (Code of Federal Regulations) part 26 in the award and administration of US DOT assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy, as the recipient deems appropriate. Each subcontract signed by the bidder must include this assurance. Failure of the bidder to fulfill the requirements of the Special Provisions for submittals required to be furnished after bid opening, including but not limited to escrowed bid documents, where applicable, may subject the bidder to a determination of the bidder's responsibility in the event it is the apparent low bidder on a future public works contracts.

Name Under Which Subcontractor is Licensed: Flintridge Tree Care

Subcontractor's Address: 2570 E. Walnut, Pasadena, CA 91107

Specific Description of Sub-Contract: Tree Removal & Painting

License Number: 800390 CA License Classification/Type: C27, D49

Name Under Which Subcontractor is Licensed: _____

Subcontractor's Address: _____

Specific Description of Sub-Contract: _____

License Number: _____ CA License Classification/Type: _____

Name Under Which Subcontractor is Licensed: _____

Subcontractor's Address: _____

Specific Description of Sub-Contract: _____

License Number: _____ CA License Classification/Type: _____

Subcontractors listed must be properly licensed under the laws of the State of California for the type of work which they are to perform. Do not list alternate subcontractors for the same work.

The Bidding Contractor must include each subcontractor's contract license number (AB 44). An inadvertent error in listing the subcontractor's license number shall not be grounds for filing a bid protest, or grounds for considering the bid nonresponsive, if the corrected contractor's license number is submitted to the public entity by the prime contractor within 24 hours after the bid opening – provided that the corrected license number corresponds to the submitted name and location of the subcontractor.

REFERENCES (Page 1 of 2)
B2015-26

List work similar in magnitude and degree of difficulty completed by the Contractor within the past three (3) years.

1. Name (Firm/Agency): City of South Gate
   Address: 8650 California Ave., South Gate, CA 90280
   Contact Person: Candice Espinoza Telephone No.: (323)357-9661
   Title of Project: Citywide Sidewalk Improvements
   Project Location: Various Locations throughout South Gate
   Date of Completion: 6/2015 Contract Amount: $ 323,320.00

2. Name (Firm/Agency): City of Chino
   Address: 13220 Cental Ave., Chino, CA 91710
   Contact Person: Vince Ledesma Telephone No.: (909)334-3435
   Title of Project: 2012-13 Concrete Repair
   Project Location: Various Locations throughout Chino
   Date of Completion: 5/2014 Contract Amount: $ 500,000.00

3. Name (Firm/Agency): City of Corona
   Address: 400 S. Vincentia Ave., Corona, CA 92882
   Contact Person: Barry Ghaemi Telephone No.: (951)739-4961
   Title of Project: Citywide Sidewalk Improvements
   Project Location: Various Locations throughout Corona
   Date of Completion: 9/2015 Contract Amount: $ 572,915.00

4. Name (Firm/Agency): City of Hemet
   Address: 450 E. Latham Ave., Hemet, CA 92543
   Contact Person: Jamie Cruz Telephone No.: (951)765-2361
   Title of Project: ADA Access Ramps
   Project Location: Various Locations throughout Hemet
   Date of Completion: 6/2015 Contract Amount: $ 138,827.50

REFERENCES (PAGE 2 OF 2)
B2015-26

If Contractor has not performed work for the City of Torrance within the last five (5) years, list all work done within said five years (attach additional sheets if necessary). Note if work was done as subcontractor [include only subcontract amount]:

Work Description & Contract Amount	Agency	Date Completed
n/a		

Contractor's License No.: 875627 Class: C8, C12

a. Date first obtained: 04/05/2006 Expiration: 04/30/2016

b. Has License ever been suspended or revoked? No

If yes, describe when and why: _____

c. Any current claims against License or Bond? No

If yes, describe claims: _____

Principals in Company (List all – attach additional sheets if necessary):

NAME	TITLE (If Applicable)	LICENSE NO.
Jose Carvajal	President	875627
Jackie Carvajal	Treasurer	875627

VIOLATIONS OF FEDERAL, STATE OR LOCAL LAWS
B2015-26

1. Has your firm or its officers been assessed any penalties by an agency for noncompliance or violations of Federal, State or Local labor laws and/or business or licensing regulations within the past five (5) years relating to your construction projects?

Yes/No: No Federal/State: None

If "yes," identify and describe, (including agency and status): _____

_____

_____

_____

Have the penalties been paid? Yes/No: _____

2. Does your firm or its officers have any ongoing investigations by any public agency regarding violations of the State Labor Code, California Business and Professions Code or State Licensing Laws?

Yes/No: No Code/Laws: _____ Section/Article: _____

If "yes," identify and describe, (including agency and status): _____

_____

_____

_____

NOTICE TO BIDDERS

COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG)
COMPLIANCE DOCUMENTS FOR CONSTRUCTION CONTRACTS
of $100,000 or more

A Bidder is required to familiarize itself with the information provided on the following pages. Failure to comply with and/or meet the requirements may result in a bid being determined incomplete or non-responsive.

A bidder is required to submit the following Compliance forms with its Bid Proposal:

- [X] **1:** County Lobbying Certification (C-24)
- [X] **2:** Report of Additional Classification and Rate (C-26)
- [X] **3:** Contractor's List of Proposed Subcontractors (C-27)
- [X] **4:** Worker's Compensation Certification (C-28)
- [X] **5:** Non-Segregated Facilities Certification C-29)
- [X] **6:** Past Performance Certification (C-30)
- [X] **7:** Notice of Equal Employment Opportunity Commitment (C-32)
- [X] **8:** Non-Collusion Affidavit (C-42)
- [X] **9:** Federal Lobbyist Certification (C-43)
- [X] **10:** Section 3 Business Certification C-47)
- [X] **11:** Section 3 Resident Certification (C-48)
- [X] **12:** Section 3 Economic Opportunity Plan (C-58)
- [X] **13:** Section 3 Economic Opportunity Report (C-59)
- [X] **14:** Notice of Section 3 Commitment (C-61)

County Lobbyist Certification

Name of Firm: CT&T Concrete Paving, Inc. Date: 10/28/15

Address: 324 S. Diamond Bar Bl., PMB275, Diamond Bar, CA 91765

Telephone: (909) 629 - 8000

Acting on behalf of the above named firm, as its Authorized Official, I make the following certification to the City of Torrance, as the local contracting agency (LCA), and to the Community Development Commission, County of Los Angeles;

1) It is understood that each person, entity, or firm who applies for a Community Development Commission contract, and as part of that process, shall certify that they are familiar with the requirements of the Los Angeles County Code, Chapter 2.160 (Los Angeles County Ordinance 93-0031) and;

2) That all persons/entities/firms acting on behalf of the above named firm have and will comply with the County Code, and;

3) That any person, entity, or firm who seeks a contract with the Community Development Commission shall be disqualified there from and denied the contract and, shall be liable in civil action, if any lobbyist, lobbying firm, lobbyist employer or any other person or entity acting on behalf of the named firm fails to comply with the provisions of the County Code.

This certification is material representation of facts upon which reliance was placed when this transaction was made or entered into.

Authorized Official:

Jose Carvajal
(Print Name of Contractor's Authorized Representative)

(Signature of Contractor's Authorized Representative)

President
(Title)

10/28/15
(Date)

CONTRACTING WITH SMALL BUSINESS MINORITY FIRMS, WOMEN'S BUSINESS ENTERPRISE AND LABOR SURPLUS AREA FIRMS

1. It is national policy to award a fair share of contracts to Small Business and Minority Firms. Accordingly, affirmative steps must be taken to assure that Small Business and Minority Firms are utilized, when possible, as sources of supplies, equipment, construction and services. Affirmative steps include the following:

   a. Including qualified Small Business and Minority Firms on solicitation lists.

   b. Assuring that Small Business and Minority Firms are solicited whenever they are potential sources.

   c. When economically feasible, dividing total requirements into smaller tasks or quantities so as to permit maximum Small Business and Minority Firm participation.

   d. Where the requirement permits, establishing delivery schedules which will encourage participation by Small Business and Minority Firms.

   e. Using the services and assistance of the Small Business Administration and the Minority Business Development Agency of the Department of Commerce, as required.

   f. If any subcontracts are to be let, requiring the prime contractor to take the affirmative steps in 1a through 1e above.

2. Grantees shall take similar appropriate affirmative action in support of Women's Business Enterprises.

3. Grantees are encouraged to procure goods and services from Labor Surplus Areas.

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT REPORT OF ADDITIONAL CLASSIFICATION AND RATE	HUD FORM 4230A

1. FROM (name and address of requesting agency)	2. PROJECT NAME AND NUMBER
City of Torrance 3031 Torrance Bl. Torrance, CA 90503	B2015-26 601647-14
	3. LOCATION OF PROJECT (City, County and State) Various Locations throughout Torrance
4. BRIEF DESCRIPTION OF PROJECT Construction of Sidewalk Repair for Handicap Accessibility	5. CHARACTER OF CONSTRUCTION ☐ Building ☐ Residential ☐ Heavy ☒ Other (specify) ☒ Highway
6. WAGE DECISION NO. (include modification number, if any) ☐ COPY ATTACHED CA 33 Modification No. 15	7. WAGE DECISION EFFECTIVE DATE 10/09/2015

8. WORK CLASSIFICATION(S)	HOURLY WAGE RATES	
	BASIC WAGE	FRINGE BENEFIT(S) (If any)
Labor Grp. 1	27.57	16.19
Labor Grp. 2	28.25	16.19
Labor Grp. 4	29.76	16.19
Opt. Eng. Grp. 3	41.02	23.35
Cement Mason Grp. 3	30.75	17.27
Teamster Grp. 3	25.19	20.50

9. PRIME CONTRACTOR (name, address)	10. SUBCONTRACTOR/EMPLOYER, IF APPLICABLE (name, address)
CT&T Concrete Paving, Inc. 324 S. Diamond Bar Bl., PMB275 Diamond Bar, CA 91765	Flintridge Tree - 2570 E. Walnut, Pasadena CA, 91107

Check All That Apply:

- ☐ The work to be performed by the additional classification(s) is not performed by a classification in the applicable wage decision.
- ☒ The proposed classification is utilized in the area by the construction industry.
- ☐ The proposed wage rate(s), including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage decision.
- ☒ The interested parties, including the employees or their authorized representatives, agree on the classification(s) and wage rate(s).
- ☐ Supporting documentation attached, including applicable wage decision.

Check One:

- ☒ Approved, meets all criteria. DOL confirmation requested.
- ☐ One or more classifications fail to meet all criteria as explained in agency referral. DOL decision requested.

	FOR HUD USE ONLY LR2000:
Agency Representative (Type name and signature) Date	Log in:
Phone Number	Log out:

CONTRACTOR LIST OF PROPOSED SUBCONTRACTORS

CT&T Concrete Paving, Inc.
Project Name

City of Torrance
Awarding Agency

Location: Various Locations Throughout Torrance

Project Number: B2015-26 601647-14

SUBCONTRACTORS Name, Address, and Telephone Number	Employer Identification Number	Contractor License Number	Contract Amount	Estimated Start Date	Estimated Completion Date	TRADES TO BE USED
TREE SMITH ENTER 1551 N. MILLER ST ANAHEIM CA 92806 (714) 996-6037	95-355 7285	802705	$204355	11/30/15	4/26/16	TREE REMOVAL & PLANTING.

Signature

10/28/15
Date

Jose Carvajal, President
Name and Title

CT&T Concrete Paving, Inc.
Company Name

WORKER'S COMPENSATION CERTIFICATION

I certify, by signature below, that I am aware of the provisions of Section 3700 of the California Labor Code which require every employer to be insured against liability for worker's compensation or to undertake self-insurance in accordance with the provisions of that code, and I will comply with such provisions before commencing the performance of the work of this contract.

Date: 10/28/15 Project Number: B2015-26 601647-14

Project Name: Construction of Sidewalk Repair for Handicap Accessibility

Company Name: CT&T Concrete Paving, Inc.

Address: 324 S. Diamond Bar Bl., PMB275, Diamond Bar, CA 91765

Print Name: Jose Carvajal

Title: President

Signature:

NON-SEGREGATED FACILITIES CERTIFICATION

FEDERALLY-ASSISTED CONSTRUCTION PROJECTS

The federally assisted construction contractor certifies that he/she DOES NOT and WILL NOT:

1. Maintain or provide, for his/her employees, any segregated facilities at any of his/her establishments.

2. Permit his/her employees to perform their services at any location, under his/her control, where segregated facilities are maintained.

The federally-assisted contractor agrees that a breach of this certification is a violation of the Equal Opportunity Clause in this contract. As used in this certification, the term segregated facilities means any waiting room, work areas, restrooms and washrooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees which are segregated by explicit directive or are in fact segregated on the basis of race, creed, color, or national origin, because of habit, local custom, or otherwise.

The federally-assisted contractor agrees that (except where he/she has obtained identical certifications from proposed subcontractors for specific time periods) he/she will obtain identical certifications from proposed subcontractors prior to the award of subcontracts exceeding $10,000 which are not exempt from the provisions of the Equal Opportunity Clause, and that he/she will retain such certifications in his/her files.

NOTE: The penalty for making false statements in offers is prescribed in 18 U.S.C. 1001.

Date: 10/28/15 Project Number: B2015-26 601647-14

Company: CT&T Concrete Paving, Inc.

Address: 324 S. Diamond Bar Bl., PMB275, Diamond Bar, CA 91765

By:

Title: Jose Carvajal, President

CERTIFICATION

WITH REGARD TO THE PERFORMANCE OF PREVIOUS CONTRACTS OR SUBCONTRACTS SUBJECT TO THE EQUAL OPPORTUNITY CLAUSE AND THE FILING OF REQUIRED REPORTS

The ☐bidder, ☐proposed sub-contractor, hereby certifies that he/she ☐has, ☐has not, participated in a previous contract or subcontract subject to the Equal Opportunity Clause, as required by Executive Orders 10925, 11114, or 11246, and that he/she ☐has, ☐has not, filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the applicable filing requirements.

Date: 10/28/15 Project Number: B2015-26 / 601647-14 Contract Award: $ 1,201,280.50

Awarding Agency: City of Torrance

Contractor Name: CT&T Concrete Paving, Inc. Total Number of Employees: 25

Affiliate Company: n/a

By: _____

Title: José Carvajal, President

NOTE: The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b)(1), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5 (Generally only contracts or subcontracts of $10,000 or under are exempt)

Proposed prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note that 41 CFR 60-1.7(b)(1) prevents the award of contracts and subcontracts unless such contractor submits a report covering the delinquent period or such other period specified by the U.S. Department of the Interior or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

SF-100 (EEO-1) must be filed by:

(A) All private employers who are:

(1) Subject to Title VII of the Civil Rights Act of 1964 (as amended) with 100 or more employees

(2) Subject to Title VII who has fewer than 100 employees, if the company is owned or affiliated with another company, or there is centralized ownership, control or management so that the group legally constitutes a single enterprise, and the entire enterprise employs a total of 100 or more employees.

(B) All federal contractors (private employers), who:

(1) Are not exempt as provided for by 41 CFR 60-1.5

(2) Have 50 or more employees, and

a. Are prime contractors or first-tier subcontractors, and have a contract, subcontract or purchase order amounting to $50,000 or more; or

b. Serve as a depository of Government funds in any amount, or

c. Is a financial institution, which is an issuing, and paying agent for U.S. Savings Bonds and Notes.

EQUAL EMPLOYMENT OPPORTUNITY COMMITMENT

TO: Joint Apprenticeship Committee
(Name of Labor Union, Workers Representative, etc.)
1385 W. Sierra Madre Ave.
Azusa, CA 91702
(Address)

Name of Business (Contractor): CT&T Concrete Paving, Inc.

Project Name: Construction of Sidewalk Repair for Handicap Accessibility Project Number: B2015-26 601647-14

The Undersigned currently holds a contract with City of Torrance, involving funds of the U. S. Government, or a subcontract with a prime contractor holding such contract.

You are advised that under the provisions of the above contract or subcontract, and in accordance with Executive Order 11246, the undersigned is obligated not to discriminate against any employee or applicant for employment because of race, color, religion, sex or national origin. This obligation not to discriminate in employment includes, but is not limited to the follow:

1. Hiring, placement, upgrading, transfer or demotion;
2. Recruitment, advertising or solicitation for employment;
3. Treatment during employment;
4. Rates of pay or other forms of compensation;
5. Selection for training, including apprenticeship; and
6. Layoff or termination.

This notice is furnished to you pursuant to the provisions of the above contract or subcontract and Executive Order 11246. Copies of this notice will be posted by the undersigned in conspicuous places available to employees or applicants for employment.

CT&T Concrete Paving, Inc.
(Firm Name)

By: _____
(Signature)

10/28/15
(Date)

Jose Carvajal, President
(Title)

Non-Collusion Affidavit

State of California Compliance Form §7106
Any public works contract of a public entity shall sign the following declaration with submitted bid.

State of (California)

County of Los Angeles) ss.

The undersigned declares:

I am the President of CT&T Concrete Paving, Inc., the party making the foregoing bid. The bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation. The bid is genuine and not collusive or sham. The bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid. The bidder has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or to refrain from bidding. The bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder. All statements contained in the bid are true. The bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, to any corporation, partnership, company, association, organization, bid depository, or to any member or agent thereof, to effectuate a collusive or sham bid, and has not paid, and will not pay, any person or entity for such purpose. Any person executing this declaration on behalf of a bidder that is a corporation, partnership, joint venture, limited liability company, limited liability partnership, or any other entity, hereby represents that he or she has full power to execute, and does execute, this declaration on behalf of the bidder. I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct and that this declaration is executed on 10/28/2015 [date], at Diamond Bar [city], CA [state]."

Project Name: Construction of Sidewalk Repair Project Number: B2015-26 601647-14

Company: CT&T Concrete Paving, Inc.

Address: 324 S. Diamond Bar Bl., PMB 275, Diamond Bar, CA 91765

Signature:

Title: Jose Carvajal, President

Date: 10/28/15

FEDERAL LOBBYIST CERTIFICATION

Name of Firm: CT&T Concrete Paving, Inc.

Address: 324 S. Diamond Bar Bl., PMB275, Diamond Bar

State: CA Zip Code: 91765 Telephone Number: (909) 629-8000

Acting on behalf of the above named firm, as its Authorized Official, I make the following Certification to the U. S. Department of Housing and Urban Development and the Community Development Commission, County of Los Angeles.

1) No Federal appropriated funds have been paid by or on behalf of the above named firm to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of and Federal grant, loan or cooperative agreement, and any extension, continuation, renewal, amendment, or modification thereof, and;

2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee or any agency, a Member of Congress an officer or employee of Congress or an employee of a Member of Congress in connection with this
Federal contract, grant loan, or cooperative agreement, the above named firm shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying", in accordance with its instructions, and;

3) The above name firm shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreement) and that all sub-recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into the transaction imposed by Section 1352 Title 31, U. S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

Authorized Official:

CT&T Concrete Paving, Inc.
(Company Name)

By:
(Signature)

10/28/15
(Date)

Jose Carvajal, President
(Title)

COMPLIANCE WITH CLEAN AIR AND WATER ACTS

(Applicable to federally assisted construction contracts and related subcontracts exceeding $100,000)

During the performance of this contract, the contractor and all subcontractors shall comply with the requirements of the Clean Air Act, as amended, 42 USC 1857 et seq., the Federal. Water Pollution Control Act, as amended, 33 USC 1251 et seq., and the regulations of the Environmental Protection Agency with respect thereto, at 40 CFR Part 15, as amended.

In addition to the foregoing requirements, all nonexempt contractors and subcontractors shall *furnish to* the owner, the following:

1. A stipulation by the contractor or subcontractors, that any facility to be utilizes) in the performance of any non exempt contract or subcontract.. is not listed on the List of Violating Facilities issued by the Environmental Protection Agency (EPA) pursuant to 40 CFR 15.20.

2. Agreement by the contractor to comply with all the requirements of Section 114 of the **Clean Air Act,** as amended, (42 USC 1857c-8) and Section 308 of the Federal Water Pollution Control **Act, as amended, (33 USC 1318) relating to** inspection, monitoring, entry, reports and information, as well as all other requirements specified in said Section 114 and Section 308, and all regulations and guidelines issued there under.

3. A stipulation that as a condition for the award of the contract, prompt notice will be given of any notification received from the Director, Office of Federal Activities, EPA, indicating that .a facility utilized, or to be utilized for the contract, is under consideration to be listed on the EPA List of Violating Facilities.

4. Agreement by the contractor that he will include, or cause to be included, the criteria and requirements in paragraph (1) through (4) of this section in every nonexempt subcontract and requiring that the contractor will take such action as the government may direct as a means of enforcing such provisions.

SECTION 3 CLAUSE

(All Section 3 covered contracts shall include the Section 3 Clause)

Section 3 Clause

a. The work to be performed under this contract is subject to the requirements of Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u (Section 3). The purpose of Section 3 is to ensure that employment and other economic opportunities generated by the U.S. Department of Housing and Urban Development (HUD) assistance or HUD assisted projects covered by Section 3, shall, to the greatest extent feasible, be directed to low- and very low-income persons, particularly persons who are recipients of HUD assistance for housing.

b. The parties to this contract will comply with HUD's regulations as set forth in 24 CFR Part 135, which implement Section 3. As evidenced by their execution of this contract, the parties to this contract certify that they are under no contractual or other impediment that would prevent them from complying with the Part 135 regulations.

c. The contractor agrees to send to each labor organization or representative of workers which the contractor has a collective bargaining agreement or other understanding, if any, a notice advising the labor organization or workers' representative of the contractor's commitments under this Section 3 Clause, and will post copies of the notice in conspicuous places at the work site where both employees and applicants for training and employment positions can see the notice. The notice shall describe the Section 3 preference, shall set forth minimum number and job titles subject to hire, availability of apprenticeship and training positions, the qualifications for each, and the name and location of the persons) taking applications foe each of the positions, and the anticipated date the work shall begin.

d. The contractor agrees to include this Section 3 Clause in every subcontract subject to compliance with regulations in 24 CFR Part 135, and agrees to take appropriate action, as provided in an applicable provision of the subcontract or in this Section 3 Clause, upon a finding that the subcontractor is in violation of the regulations in 24 CFR Part 135. The contractor will not subcontract with any subcontractor where the contractor has notice or knowledge that the subcontractor has been found in violation of regulations under 24 CFR Part 135.

e. The contractor will certify that any vacant employment positions, including training positions, that are filled (1) after the contractor is selected, but before the contract is executed, and (2) with persons other than those to whom the regulations of 24 CFR Part 135 require employment opportunities to be directed, where not filled to circumvent the contractor's obligations under 24 CFR Part 135.

f. Noncompliance with HUD's regulations in 24 CFR Part 135 may result in sanctions, termination of this contract for default, and debarment or suspension from future HUD assisted contracts.

g. With respect to work performed in connection with Section 3 covered Indian Housing Assistance, Section 7(b) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450e) also applies to the work to be performed under this contract. Section 7(b) requires that the greatest extent feasible, (i) preference and opportunities for training and employment shall be given to Indians, and (ii) preference in the award of contracts and subcontracts shall be given to Indian organizations and Indian-owned Economic Enterprises. Parties to this contract that are subject to the provisions of Section 3 and Section 7(b) agree to comply with Section 3 to the maximum extent feasible, but not in derogation of compliance with Section 7(b).

A **Section 3 Responsive bidder** is a bidder that submits a *Section 3 Business Certification* form with the bid, and

1. Qualifies as a Section 3 Business concern because the business
   - Is 51% owned by low-income residents, or
   - 30% or more of its permanent full-time employees are low-income residents, and
   - Provides the *Section 3 Resident Certification form*(s) for each qualified employee.

- OR -

2. Makes a written commitment by submitting a Section 3 Economic Opportunity Plan with their bid indicating that the bidder will:
   - Hire at least 30% aggregate new-hires that are qualified low-income residents, and
   - Provide the *Section 3 Resident Certification* form(s) for each Section 3 new-hire, or
   - Subcontract 25% or more of the bid amount to qualified Section 3 Business Concern(s), and
   - Ensure that the Business Concern(s) provide *Section 3 Resident Certification* form(s) for each qualified employee.

   NOTE: If the contract is awarded based upon the written commitment, the contractor will be responsible to document all efforts made to recruit Section 3 resident new-hires. Additionally, the recipient of a Section 3 contract who commits to the Section 3 goal is required to submit a *Section 3 Summary Report* by July 1 and/or with their final Certified Payroll Report submission.

First preference will be given to a bidder who provides a reasonable bid and is a qualified Section 3 Business Concern. Second preference will be given to a bidder who provides a reasonable bid and commits to achieving the Section 3 employment, training and subcontracting opportunity goals by submitting a written commitment (*Economic Opportunity Plan*).

A **Section 3 Non-responsive bidder** is a bidder that

- Fails to provide a *Section 3 Business Certification* form documenting Section 3 qualifications with a bid response, or
- Fails to provide a *Section 3 Business Certification* form and an *Economic Opportunity Plan* with a bid response.

However, if the lowest bid of a qualified Section 3 Responsive Bidder is not reasonable (not within the *Zone of Consideration*), as defined below, the construction contract shall be awarded to the lowest bid from any responsive and responsible bidder.

A **REASONABLE bid** is a bid that is not more than the value of "X" higher than the **LOWEST BID.**

1. The actual dollar amount of bid received from any responsible bidder, **PLUS**
2. The **"X" FACTOR**, which is the lesser of:
   a. The dollar amount of the required percentage listed on the chart below of the Bid submitted, or
   b. The actual dollar amount listed on the chart below.
3. Equal the **MAXIMUM ACCEPTABLE BID**

ZONE OF CONSIDERATION

If the Lowest Bid is		The "X" FACTOR is the Lesser than	
At Least	But Less Than		
$100,000	N/A	10%	$9,000
$100,000	$200,000	9%	$16,000
$200,000	$300,000	8%	$21,000
$300,000	$400,000	7%	$24,000
$400,000	$500,000	6%	$25,000
$500,000	$1,000,000	5%	$40,000
$1,000,000	$2,000,000	4%	$60,000
$2,000,000	$4,000,000	3%	$80,000
$4,000,000	$7,000,000	2%	$105,000
$7,000,000		1.5%	

SECTION 3 BUSINESS CERTIFICATION FORM

Business Name: CT&T Concrete Paving, Inc.

Business Address: 324 S. Diamond Bar Bl., PMB275, Diamond Bar, CA 91765

Telephone Number: (909)629-8000 Contract/Bid Amount: $ 1,201,280.50

1. The above mentioned business firm is a Section 3 business concern based on the following qualifications:

☐ **51-percent owned by Section 3 Residents**
(Submit Resident Certifications with this business certification form)

☐ **At least 30-percent Permanent, full-time employees are Section 3 Residents**
Total Number of all full-time employees _____ , Number of Section 3 qualified Employees _____
(Submit the Resident Certification form(s) with this Business Certification form)

2. The above mentioned business firm is not a Section 3 business concern, but commits to the Section 3 goal:

☒ **Written Commitment** (Section 3 Economic Opportunity Plan), outline intentions to:
Hire Section 3 qualified residents at least 30-percent aggregate new hire positions, and/or
Subcontract 25-percent or more of the contract amount to Section 3 qualified business concerns.

THE UNDERSIGNED DECLARES THAT THE ABOVE INFORMATION IS COMPLETE AND CORRECT

Signature of Owner/Principal — Date: 10/28/15

CT&T Concrete Paving, Inc. — Business Name

875627 — License Number

To Be Completed by Local Contracting Agency

Preference Category: ☐Targeted Service Area ☐Youthbuild ☐Other · Census Tract Number: _____

Section 3 Resident Certification
Employee Residing in Los Angeles County

Note: *This form is for use in conjunction with the "Section 3 Business Certification Form" to (1) substantiate a certification as a Section 3 Business Concern; or (2) to substantiate a written commitment. It may also be used for the purposes of qualifying a new hire as a Section 3 Resident. Only residents of Los Angeles County may qualify as Section 3 New Hires.*

N/A

NAME

ADDRESS

I hereby certify that I am a Section 3 resident; based on the following qualification(s):

1. ☐ I am a Public Housing Resident:

NAME OF PUBLIC HOUSING UNIT

ADDRESS OF PUBLIC HOUSING SITE

2. ☐ I am a low-income resident of the metropolitan area and:

2015 INCOME LIMITS

My household Size is:	My household's gross annual income from all sources is:			
1 ☐	$17,450 or Less ☐	$17,451 to $29,050 ☐	$29,051 to $46,499 ☐	$46,500 or more ☐
2 ☐	$19,950 or Less ☐	$19,951 to $33,199 ☐	$33,200 to $53,149 ☐	$53,150 or more ☐
3 ☐	$22,450 or Less ☐	$22,451 to $37,349 ☐	$37,350 to $59,799 ☐	$59,800 or more ☐
4 ☐	$24,900 or Less ☐	$24,901 to $41,499 ☐	$41,500 to $66,399 ☐	$66,400 or more ☐
5 ☐	$28,410 or Less ☐	$28,411 to $44,849 ☐	$44,850 to $71,749 ☐	$71,750 or more ☐
6 ☐	$32,570 or Less ☐	$32,571 to $48,149 ☐	$48,150 to $77,049 ☐	$77,050 or more ☐
7 ☐	$36,730 or Less ☐	$36,731 to $51,499 ☐	$51,500 to $82,349 ☐	$82,350 or more ☐
8 ☐	$40,890 or Less ☐	$40,891 to $54,799 ☐	$54,800 to $87,649 ☐	$87,650 or more ☐

According to Title 18, Section 1001 of the U.S. Code, it is a felony for any person to knowingly and willingly make false or fraudulent statements to any department of the United States Government. I, the undersigned, hereby certify that all statements contained herein, are true and correct to the best of my knowledge and belief. I understand the information I provide in this certification is subject to verification, and I agree to provide necessary documentation if requested.

Under the penalty of perjury, I certify that the above information is true and correct.

EMPLOYEE SIGNATURE

DATE

To be Completed by Employer:

The above-named person was hired previous to this certification on: _____

The above-named person is a new hire as of: _____

The above-named person's Work Classification is: _____

Company Name: _____

Employer Name/Signature: _____

To Be Completed by Local Contracting Agency

Preference Category: ☐ Targeted Service Area ☐ Youthbuild ☐ McKinney Homeless ☐ Other Section 3

Section 3 Resident Certification
Employee Residing in Orange County

Note: ***This form is for use in conjunction with the "Section 3 Business Certification Form" to (1) substantiate a certification as a Section 3 Business Concern; or (2) to substantiate a subcontracting written commitment only. This form MAY NOT be used for the purpose of qualifying a new hire as a Section 3 Resident. Only residents of Los Angeles County may qualify as Section 3 New Hires.***

N/A

NAME

ADDRESS

I hereby certify that I am a Section 3 resident; based on the following qualification(s):

1. ☐ I am a Public Housing Resident:

NAME OF PUBLIC HOUSING SITE

ADDRESS OF PUBLIC HOUSING SITE

2. ☐ I am a low-income resident of the metropolitan area and:

2015 INCOME LIMITS

My household Size is:	My household's gross annual income from all sources is:			
1 ☐	$19,700 or Less ☐	$19,701 to $32,799 ☐	$32,800 to $52,499 ☐	$52,500 or more ☐
2 ☐	$22,500 or Less ☐	$22,499 to $37,499 ☐	$37,500 to $59,999 ☐	$60,000 or more ☐
3 ☐	$25,300 or Less ☐	$25,301 to $42,199 ☐	$42,200 to $67,499 ☐	$67,500 or more ☐
4 ☐	$28,100 or Less ☐	$28,101 to $46,849 ☐	$46,850 to $74,949 ☐	$74,950 or more ☐
5 ☐	$30,350 or Less ☐	$30,351 to $50,600 ☐	$50,601 to $80,949 ☐	$80,950 or more ☐
6 ☐	$32,600 or Less ☐	$32,601 to $54,349 ☐	$54,350 to $86,949 ☐	$86,950 or more ☐
7 ☐	$36,730 or Less ☐	$36,731 to $58,099 ☐	$58,100 to $92,949 ☐	$92,950 or more ☐
8 ☐	$40,890 or Less ☐	$40,891 to $61,849 ☐	$61,850 to $98,949 ☐	$98,950 or more ☐

According to Title 18, Section 1001 of the U.S. Code, it is a felony for any person to knowingly and willingly make false or fraudulent statements to any department of the United States Government. I, the undersigned, hereby certify that all statements contained herein, are true and correct to the best of my knowledge and belief. I understand the information I provide in this certification is subject to verification, and I agree to provide necessary documentation if requested.

Under the penalty of perjury, I certify that the above information is true and correct.

EMPLOYEE SIGNATURE

DATE

To be Completed by Employer:

The above-named person was hired previous to this certification on: _____

The above-named person is a new hire as of: _____

The above-named person's Work Classification is: _____

Company Name: _____

Employer Name/Signature: _____

To Be Completed by Local Contracting Agency

Preference Category: ☐ Targeted Service Area ☐ Youthbuild ☐ McKinney Homeless ☐ Other Section 3

Section 3 Resident Certification
Employee Residing in San Bernardino County / Riverside County

Note: ***This form is for use in conjunction with the "Section 3 Business Certification Form" to (1) substantiate a certification as a Section 3 Business Concern; or (2) to substantiate a subcontracting written commitment only. This form MAY NOT be used for the purpose of qualifying a new hire as a Section 3 Resident. Only residents of Los Angeles County may qualify as Section 3 New Hires.***

N/A

NAME

ADDRESS

I hereby certify that I am a Section 3 resident; based on the following qualification(s):

1. ☐ I am a Public Housing Resident:

NAME OF PUBLIC HOUSING SITE

ADDRESS OF PUBLIC HOUSING SITE

2. ☐ I am a low-income resident of the metropolitan area and:

2015 INCOME LIMITS

My household Size is:	My household's gross annual income from all sources is:			
1 ☐	$13,100 or Less ☐	$13,101 to $21,749 ☐	$21,750 to $34,799 ☐	$34,800 or more ☐
2 ☐	$15,930 or Less ☐	$15,931 to $24,849 ☐	$24,850 to $39,799 ☐	$39,800 or more ☐
3 ☐	$20,090 or Less ☐	$20,091 to $27,949 ☐	$27,950 to $44,749 ☐	$44,750 or more ☐
4 ☐	$24,250 or Less ☐	$24,251 to $31,049 ☐	$31,050 to $49,699 ☐	$49,700 or more ☐
5 ☐	$28,410 or Less ☐	$28,411 to $33,549 ☐	$33,550 to $53,699 ☐	$53,700 or more ☐
6 ☐	$32,570 or Less ☐	$32,571 to $36,049 ☐	$36,050 to $57,699 ☐	$57,700 or more ☐
7 ☐	$36,730 or Less ☐	$36,731 to $38,549 ☐	$38,550 to $61,649 ☐	$61,650 or more ☐
8 ☐	$40,890 or Less ☐	$40,891 to $40,999 ☐	$41,000 to $65,649 ☐	$65,650 or more ☐

According to Title 18, Section 1001 of the U.S. Code, it is a felony for any person to knowingly and willingly make false or fraudulent statements to any department of the United States Government. I, the undersigned, hereby certify that all statements contained herein, are true and correct to the best of my knowledge and belief. I understand the information I provide in this certification is subject to verification, and I agree to provide necessary documentation if requested.

Under the penalty of perjury, I certify that the above information is true and correct.

EMPLOYEE SIGNATURE

DATE

To be Completed by Employer:

The above-named person was hired previous to this certification on: _____

The above-named person is a new hire as of: _____

The above-named person's Work Classification is: _____

Company Name: _____

Employer Name/Signature: _____

To Be Completed by Local Contracting Agency

Preference Category: ☐ Targeted Service Area ☐ Youthbuild ☐ McKinney Homeless ☐ Other Section 3

Section 3 Resident Certification
Employee Residing in San Diego County

Note: ***This form is for use in conjunction with the "Section 3 Business Certification Form" to (1) substantiate a certification as a Section 3 Business Concern; or (2) to substantiate a subcontracting written commitment only. This form MAY NOT be used for the purpose of qualifying a new hire as a Section 3 Resident. Only residents of Los Angeles County may qualify as Section 3 New Hires.***

N/A

NAME

ADDRESS

I hereby certify that I am a Section 3 resident; based on the following qualification(s):

1. ☐ I am a Public Housing Resident:

NAME OF PUBLIC HOUSING SITE

ADDRESS OF PUBLIC HOUSING SITE

2. ☐ I am a low-income resident of the metropolitan area and:

2015 INCOME LIMITS

My household Size is:	My household's gross annual income from all sources is:			
1 ☐	$17,050 or Less ☐	$17,051 to $28,349 ☐	$28,350 to $45,399 ☐	$45,400 or more ☐
2 ☐	$19,450 or Less ☐	$19,451 to $32,399 ☐	$32,400 to $51,849 ☐	$51,850 or more ☐
3 ☐	$21,900 or Less ☐	$21,901 to $36,449 ☐	$36,450 to $58,349 ☐	$58,350 or more ☐
4 ☐	$24,300 or Less ☐	$24,301 to $40,499 ☐	$40,500 to $64,799 ☐	$64,800 or more ☐
5 ☐	$28,410 or Less ☐	$28,411 to $43,749 ☐	$43,750 to $66,999 ☐	$70,000 or more ☐
6 ☐	$32,570 or Less ☐	$32,571 to $46,999 ☐	$47,000 to $75,199 ☐	$75,200 or more ☐
7 ☐	$36,730 or Less ☐	$36,731 to $50,249 ☐	$50,250 to $80,309 ☐	$80,400 or more ☐
8 ☐	$40,890 or Less ☐	$40,891 to $53,499 ☐	$53,500 to $85,549 ☐	$85,550 or more ☐

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Under the penalty of perjury, I certify that the above information is true and correct.

EMPLOYEE SIGNATURE

DATE

To be Completed by Employer:

The above named person was hired previous to this certification on: _____

The above-named person is a new hire as of: _____

The above-named person's Work Classification is: _____

Company Name: _____

Employer Name/Signature: _____

To Be Completed by Local Contracting Agency

Preference Category: ☐ Targeted Service Area ☐ Youthbuild ☐ McKinney Homeless ☐ Other Section 3

Section 3 Resident Certification
Employee Residing in Ventura County

Note: ***This form is for use in conjunction with the "Section 3 Business Certification Form" to (1) substantiate a certification as a Section 3 Business Concern; or (2) to substantiate a subcontracting written commitment only. This form MAY NOT be used for the purpose of qualifying a new hire as a Section 3 Resident. Only residents of Los Angeles County may qualify as Section 3 New Hires.***

N/A

NAME

ADDRESS

I hereby certify that I am a Section 3 resident; based on the following qualification(s):

1. ☐ I am a Public Housing Resident:

NAME OF PUBLIC HOUSING SITE

ADDRESS OF PUBLIC HOUSING SITE

2. ☐ I am a low-income resident of the metropolitan area and:

2015 INCOME LIMITS

My household Size is:	My household's gross annual income from all sources is:			
1 ☐	$19,050 or Less ☐	$19,051 to $31,749 ☐	$31,750 to $50,749 ☐	$50,750 or more ☐
2 ☐	$21,800 or Less ☐	$21,801 to $36,249 ☐	$36,250 to $57,999 ☐	$58,000 or more ☐
3 ☐	$24,500 or Less ☐	$24,501 to $40,799 ☐	$40,800 to $65,249 ☐	$62,250 or more ☐
4 ☐	$27,200 or Less ☐	$27,201 to $45,299 ☐	$45,300 to $72,499 ☐	$72,500 or more ☐
5 ☐	$29,400 or Less ☐	$29,401 to $48,949 ☐	$48,950 to $78,299 ☐	$78,300 or more ☐
6 ☐	$32,570 or Less ☐	$32,571 to $52,540 ☐	$52,550 to $84,099 ☐	$84,100 or more ☐
7 ☐	$36,730 or Less ☐	$36,731 to $56,200 ☐	$56,201 to $89,899 ☐	$89,900 or more ☐
8 ☐	$40,890 or Less ☐	$40,891 to $59,759 ☐	$59,800 to $95,699 ☐	$95,700 or more ☐

According to Title 18, Section 1001 of the U.S. Code, it is a felony for any person to knowingly and willingly make false or fraudulent statements to any department of the United States Government. I, the undersigned, hereby certify that all statements contained herein, are true and correct to the best of my knowledge and belief. I understand the information I provide in this certification is subject to verification, and I agree to provide necessary documentation if requested.

Under the penalty of perjury, I certify that the above information is true and correct.

EMPLOYEE SIGNATURE

DATE

To be Completed by Employer:

The above named person was hired previous to this certification on: _____

The above named person is a new hire as of: _____

The above-named person's Work Classification is: _____

Company Name: _____

Employer Name/Signature: _____

To Be Completed by Local Contracting Agency

Preference Category: ☐ Targeted Service Area ☐ Youthbuild ☐ McKinney Homeless ☐ Other Section 3

SECTION 3 ECONOMIC OPPORTUNITY PLAN

1. Name and Address of Reporting Entity (Recipient, Sub-recipient, Contractor, Subcontractor)	2. Federal Identification: (Contract/Award No.)	3. Dollar Amount of Award
CT&T Concrete Paving, Inc. 324 S. Diamond Bar Bl., PMB275 Diamond Bar, CA 91765	20-2642225	
	4. Contact Person: Jose Carvajal	5. Phone: (Include Area Code) (909)629-8000
	6. Reporting Period: 11/30/15	7. Date Report Submitted 04/26/16
8. Program Code	(Use a separate sheet for each Program Code)	
Program Codes 1. Flexible Subsidy 2. Section 202/811 3. Public/Indian Housing Development, Operation and Modernization 4. Homeless Assistance 5. HOME 6. HOME - State Administered 7. CDBG - Entitlement 8. CDBG - State Administered 9. Other CD Programs 10. Other Housing Programs		

Part I: Employment and Training Commitment

JOB CLASSIFICATION	TOTAL NEW HIRES	SECTION 3 NEW HIRES	% of Aggregate Hires Who are Section 3 Residents
Professionals			%
Technicians			%
Office/Clerical			%
Trade:			%
Trade:			%
Trade:			%
Trade:			%
Total:			%

Part II: Contract Award Commitment to Section 3 Businesses (Subcontractors, Suppliers, Vendors, or Service Providers)

NAME OF SECTION 3 BUSINESS CONCERN	SPECIFY CONSTRUCTION OR NONCONSTRUCTION CONTRACT	CONTRACT AMOUNT
		$
		$
		$
		$
		$
		$
		$
	TOTAL	$
Percentage of the total dollar amount to be awarded to Section 3 Business Concerns:		%

SECTION 3 ECONOMIC OPPORTUNITY REPORT (CONTRACTOR)

1. Recipient Name and Address (Recipient, Sub-recipient, Contractor, Subcontractor)	2. Project Number: (Contract/Award No.)	3. Dollar Amount of Contract:
CT&T Concrete Paving, Inc. 324 S. Diamond Bar Bl. PMB275 Diamond Bar, CA 91765	B2015-26 6016647-14	
	4. Contact Person: Jose Carvajal	5. Phone: (Include Area Code) (909)629-8000
	6. Reporting Period: 11/30/15	7. Date Report Submitted 10/28/15
	8. Project Number: B2015-26 6016647-14	9. Federal EIN 20-2642225

Part I: Employment & Training Opportunities provided to low-income individuals (Minimum Goal: 30% of New Hires)

JOB CLASSIFICATION	TOTAL NEW HIRES	LOW-INCOME NEW HIRES	% of Aggregate Hires Who are low-income
Technicians			%
Office/Clerical			%
Professionals			%
Construction Trade:			%
Construction Trade:			%
TOTAL			%

Part II: Subcontracts Awarded (Minimum Subcontract Goal: 25% of the Prime Contract Amount)

Number of Subcontracts awarded:		*Number of Section 3 Businesses Receiving Contracts*	
Name of Qualified Business Concern		**Construction or Non-construction Contract**	**Amount**
			$
			$
			$
			$
Total Dollar Amount of Subcontracts awarded to Section 3 qualified Business Concerns:			$
Dollar Amount of All Subcontracts:			$
Percentage of the total dollar amount awarded to qualified Business Concerns:			%

Part III: Summary of the efforts that were made to generate economic opportunities

Trained and/or Employed _____ low-income individuals equal to _____ (%) of the aggregate new hires. (Attach Resident Certifications)
Awarded a Subcontract to _____ qualified Business Concerns equal to _____ (%) of the contract amount. (Attach Business Certifications)
Attempted to recruit low-income individuals through:
- Advertised through local media, television, radio, newspaper (Attach copy of advertisement)
- Signs prominently displayed at the project site
- Contacts with community organizations
- Contacted management to notify residents and posted or distributed flyers at public housing authority (Attach list)

Participated in a HUD program or other program which promotes the training or employment of low-income individuals
Participated in a HUD program or other program which promotes the award of contracts to Section 3 Qualified Business Concerns
Contacted agencies administering HUD Youth-Build programs. (Attach list)
Maintained a file of eligible, qualified low-income Residents and qualified Business Concerns for future employment
OTHER _____ (Attach supporting documentation)

A Section 3 responsive bidder who commits to hire Section 3 Residents by directing employment and training opportunities toward low- and very low-income persons, particularly those who are recipients of government assistance for housing, may use any combination of outreach efforts to meet the Section 3 commitment made when a Section 3 Economic Opportunity Plan has been submitted.

REMEMBER: All employees of a business/firm count toward meeting your Section 3 compliance goals—Section 3 New Hires do not have to be construction workers, they just have to be a part of your permanent, full-time staff.

SAMPLE OUTREACH EFFORTS FOR CONTRACTORS SEEKING SECTION 3 RESIDENT EMPLOYEES

- Enter into "first-source" hiring agreements with organizations representing Section 3 residents such as *Work Source* or a local Workforce Investment Board. For more information, visit http://www.calwia.org/wia/index.cfm

- Sponsor a HUD-certified "Step-Up" employment and training program for Section 3 residents.

- Advertise training and employment positions by distributing flyers (Notice of Section 3 Commitment or other flyer that identifies the positions to be filled, the qualifications required, and where to obtain additional information about the application process) to every occupied dwelling unit in the housing development(s) adjacent to the project site.

- Post training and employment position flyers in public housing developments, offices of the local government, and other conspicuous places.

- Contact State-approved apprenticeship programs to gain access to potentially low-income residents who are actively seeking job-placement and training. For more information on local apprenticeship programs, you can visit the California Department of Industrial Relations' database of local apprenticeship programs by visiting http://www.dir.ca.gov/databases/das/aigstart.asp

- Contact agencies administering HUD Youthbuild programs, and requesting their assistance to recruit current HUD Youthbuild program participants who are in need of permanent placement

- Advertise any positions to be filled through the local media, such as community television networks, newspapers of general circulation, or commonly-used job placement websites such as www.monster.com

NOTICE OF SECTION 3 COMMITMENT

TO: Joint Apprenticeship Committee
(Name of Labor Union, Workers Representative, etc.)

1385 W. Sierra Madre Ave., Azusa, CA 91702
(Address)

Name of Business (Contractor): CT&T Concrete Paving, Inc.

Project Name: Construction of Sidewalk Repair for Handicap Accessibility Project Number: B2015-26 601647-14

The Undersigned currently holds a contract with City of Torrance involving Block Grant (CDBG) funds from the U. S. Department of Housing and Urban Development or a subcontract with a prime contractor holding such contract.

You are advised that under the provisions of the above contract or subcontract and in accordance with Section 3 of the Housing and Urban Development Act of 1968, the undersigned is obligated to the greatest extent feasible, to give opportunities for employment and training to lower income residence of the CDBG assisted project area and to award contracts for work on the project to business concerns which are located in or are owned in substantial part by project area residence.

Regarding employment opportunities for Section 3, the minimum number and job titles are

Minimum Number	Job Classification
3	Opt. Eng. Grp. 3
2	Teamster Grp. 3
9	Cement Masons
10	Labor Grp. 1, 2 & 4

Regarding job referrals, request that consideration be given, to the greatest extent feasible, to assignment of persons residing in the service area or neighborhood in which the project is located.

The anticipated date the work will begin is 11/30/15. For additional information, you may contact Jose Carvajal (Contact Person's Name), President (Title) at (909) 629-8000.

This notice is furnished to you pursuant to the provisions of the above contract or subcontract and Section 3 of the Housing and Urban Development Act of 1968. Copies of this notice will be posted by the undersigned in conspicuous places available to employees or applicants for employment.

Jose Carvajal
(Print Name)

By: _____
(Signature)

10/28/15
(Date)

President
(Title)